

THE SOCIAL RESPONSIBILITY ASSESSMENT TOOL

Equivalency Mapping

The Social Responsibility Assessment Tool for the Seafood Sector (SRA) is a risk-assessment tool that contributes to human rights due diligence processes in seafood supply chains and can be used to inform the development of a workplan towards improvement of social conditions. The SRA Equivalency Mapping is designed to help those implementing social programs, such as the Responsible Fishing Vessel Standard, Fair Trade USA, or the AENOR Atun de Pesca Responsable, to leverage existing evidence to complete the SRA.



Leverage Existing Work



Save Time



Reduce Costs

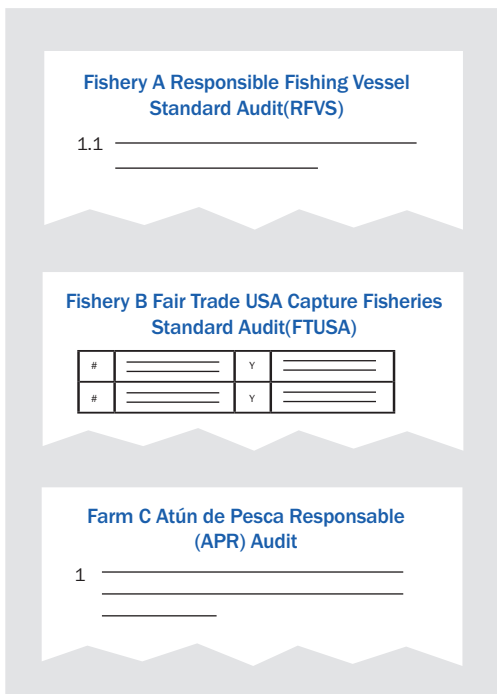
Many of social programs and tools require a rigorous third-party assessment and data collection process similar to the SRA. By using the SRA equivalency mapping process, producers can leverage data collected during the audit or pre-assessment of another social program to **save time** and **reduce cost** of implementing the SRA.

The SRA has been mapped to other social programs and tools. This SRA Equivalency (EQ) Mapping was developed to:

Help seafood producers to use pre-assessments and audits from other social programs **to evaluate their level of risk** using the SRA.

Highlight indicators of risk that are not covered by other social programs.

Reduce assessment/auditing time and cost by using previously collected data.



Social programs all address different issue areas in different ways. By converting your audit data using the Social Responsibility Assessment (SRA) risk assessment framework, you can see your level of risk for the areas covered by the SRA for each program.

SRA	Fishery A	Fishery B	Farm C
1.1.1	Orange	Green	Green
1.1.2	Green	Red	Orange
1.1.3	Red	Orange	Red

The SRA was developed in alignment with human and labor rights standards and existing social certification and ratings in seafood. **The SRA is not a certification**, however, it works complementary to other social programs that have similar goals and follows a similar process.

Updated: September 2022

For more information on the equivalency mapping process, please visit the [SRA page on RISE](#).

1 Documents you will need

SRA Documents

- Instruction document '[Applying and Using the SRA Equivalency Mapping](#)'
- The EQ Mapping of the relevant standard*
- The [SRA Tool](#)
- The SRA Tool Assessment Template (either the [FisheryProgress Word doc](#) or the [ELEVATE Excel sheet](#))

Other Social Program Documents

- The producer's most recent audit or assessment report
- The original standard document of the program implemented

*all standards / programs that have been officially mapped to the SRA are available on the [RISE website](#).

2 Compare the scope of the other social program with the scope of the SRA

There are 3 main items that need to be verified by the assessor during this stage:

- 1 Does the scope of the individuals and vessels that have been audited or assessed match the Unit of Assessment (UoA) of the SRA?
- 2 Many programs employ a continuous improvement model, whereby requirements build over time. Were all aspects of the other social program evaluated during that program's most recent assessment?
- 3 Based on applicability, was the SRA requirement listed in the equivalency mapping from the other social program audited? (e.g., applicability based on vessel size, days at sea, etc.)

Review the [SRA Unit of Assessment Guidance](#) for more information on how to determine the SRA UoA.

Once you have reviewed these questions, you can determine any remaining data collection needed to fully complete the SRA.

3 Transfer the standard requirements and available evidence to the SRA assessment template

Information collected for each Performance Indicator Scoring Guidepost (PISG) during implementation of the other social program is transferred over and used as evidence to determine risk levels. Depending on the match type, additional data may or may not need to be collected:



Full Match
No additional data

Enough data was collected during the other social program's audit to assess risk according to the SRA.



Partial Match
Some additional data

Some data collected during the other social program's audit provides relevant evidence for part of the SRA PISG, but more data needs to be collected to assess risk according to the SRA.



No Alignment
Full data

There was no data collected during the other program's social audit that can be used to assess risk according to the SRA.

4 Collect additional data as needed or desired

In this final step, you will plan your assessment to collect the additional data that is needed to determine risk level on each SRA indicator according to the SRA methodology. Only once the additional data have been collected will you be able to accurately determine a score for each indicator that you wish to assess.

Be sure to verify that the entity requesting the SRA will accept equivalency mapping and any associated conditions or requirements identified by the mapping exercise.